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Financing of the United Nations Multidimensional Integrated Stabilization Mission in Mali

Financing arrangements for the United Nations Multidimensional Integrated Stabilization Mission in Mali for the period from 1 July to 31 December 2013

Note by the Secretary-General

I. Introduction

1. The resources sought for the United Nations Multidimensional Integrated Stabilization Mission in Mali (MINUSMA) for the period from 1 July to 31 December 2013 are required for the implementation of the Mission's mandate. The proposed commitment authority provides for the deployment of 9,141 military contingent personnel, 206 United Nations police officers, 962 formed police unit personnel, 415 international staff, 647 national staff and 250 United Nations Volunteers by the end of the funding period. The civilian human resources component includes 23 positions to augment the existing capacity of United Nations Headquarters in providing oversight and expert assistance for the new Mission.

2. The present note contains a request for commitment authority, with assessment for the period from 25 April to 31 December 2013 in the amount of $454,426,300, inclusive of the $83,690,200 previously authorized by the Advisory Committee on Administrative and Budgetary Questions to meet costs related to MINUSMA for the period 25 April to 30 June 2013.

II. Mandate and planned results

Overall

3. By its resolution 2100 (2013) of 25 April 2013, the Security Council established the United Nations Multidimensional Integrated Stabilization Mission in Mali. The Council requested the Secretary-General to subsume the United Nations Office in Mali (UNOM) into MINUSMA, with MINUSMA assuming responsibility for the discharge of the Office's mandated tasks, as established by the Council in its

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resolution 2085 (2012) of 20 December 2012. This involves undertaking, inter alia, the following tasks: providing support for the planning, deployment and operations of AFISMA; providing support in critical areas with respect to the extension of Malian State authority, including rule-of-law and security institutions, mine action, the promotion of national dialogue, regional cooperation, security sector reform, human rights and the initial demobilization, disarmament and reintegration of former combatants; and ensuring that international humanitarian and human rights law is adhered to. In addition, in paragraph 1 of resolution 2085 (2012), the Council requested the Secretary-General to continue to assist the transitional authorities of Mali in the preparation of a transitional road map, including the conduct of an electoral process.

4. Furthermore, the Council decided that authority would be transferred from the African-led International Support Mission in Mali (AFISMA) to MINUSMA on 1 July 2013, at which point MINUSMA would commence the implementation of its mandate as defined in paragraphs 16 and 17 of the resolution, for an initial period of 12 months. The tasks under the mandate would include: the stabilization of key population centres and the re-establishment of State authority throughout the country; support for the implementation of the transitional road map, including the national political dialogue and the electoral process; the protection of civilians and United Nations personnel; the promotion and protection of human rights; support for humanitarian assistance; support for cultural preservation; and support for national and international justice.

5. The Security Council requested the Secretary-General to keep the Council regularly informed on the situation in Mali and the implementation of the mandate of MINUSMA and to report to the Council within 45 days of the adoption of resolution 2100 (2013) and then every three months from 1 July 2013. The report is to include updates on the security situation, the priority political elements on the implementation of the transitional road map, relevant information on the progress, promotion and protection of human rights and international humanitarian law and a review of the troop level, force generation and deployment of all of the constituent elements of MINUSMA.

6. The Mission is mandated to help the Security Council to achieve an overall objective, namely, in support of the transitional authorities of Mali, to stabilize the key population centres, especially those in the north of the country, and, in this context, to deter threats and take active steps to prevent the return of armed elements to those areas; and to support the implementation of the transitional road map while promoting and protecting human rights and supporting humanitarian assistance, cultural preservation and national and international justice. The delivery of the mandate will be undertaken in close coordination with the African Union and the Economic Community of West African States.

7. The Head of Mission will also serve as the Special Representative of the Secretary-General for Mali and will have overall responsibility for the coordination of United Nations activities on the ground. Headquartered in Bamako, the Mission will operate throughout Mali, with a significant presence in major population centres, including Gao, Timbuktu and Kidal.

8. The Council decided that MINUSMA would comprise a maximum of 11,200 military personnel, including reserve battalions capable of deploying rapidly within the country as and when required, and 1,440 police personnel. The Council also

welcomed the commitment of the Secretary-General, as outlined in paragraph 81 of his report (S/2013/189), to take all possible steps to accelerate the deployment of civilian and military capabilities in Mali, in order to best respond to the Council's expectations and the needs of the Malian people. Further to the decision of the Council, upon full deployment MINUSMA is expected to have 11,200 troops, composed of infantry battalions and enabling capacities, including in the areas of logistics, transportation, engineering, signals, military police, aviation and medical care, in addition to 1,440 police personnel, comprising 320 who are to serve as individual police officers and 1,120 who are to be deployed in eight formed police units. It is expected that more than 50 per cent of the authorized military and police personnel will be deployed by 1 July 2013, owing largely to the rehatting of current AFISMA personnel.

9. Pursuant to paragraphs 8 and 9 of section VI of General Assembly resolution 64/269, on 1 May 2013 the Advisory Committee on Administrative and Budgetary Questions concurred with the request for authorization to enter into commitments in an amount not to exceed $83,690,200 gross ($82,920,000 net) for the period from 25 April to 30 June 2013 to meet critical logistical and personnel requirements related to the establishment of MINUSMA and the assumption of responsibility for the discharge of the mandated tasks of UNOM. Financial details of the commitment authority authorized with the concurrence of the Advisory Committee are set out in the annex to the present note.

10. Approval and assessment of $454,426,300, the total amount of commitment authority for the period from 25 April to 31 December 2013, will provide for the Peacekeeping Reserve Fund to be replenished for a total of $83,690,200 authorized by the Advisory Committee for the immediate needs of MINUSMA.

Planning assumptions and support initiatives

11. The Mission's support concept is based on the principles of the global field support strategy and includes the extensive use of contractors, troops and Member States for service delivery, a light support structure focused on contracts management, and the co-location of civilian, military and police in modularized camps. To facilitate the implementation of its mandate, the Mission will be deployed in phases.

12. Existing capacities in nearby United Nations missions and supply lines will be leveraged in an effort to reduce the deployment footprint. In this regard, administrative support functions and assistance with logistical support will be provided in coordination with other missions, including the United Nations Operation in Côte d'Ivoire and the United Nations Global Service Centre in Brindisi, Italy.

13. This initial resourcing proposal includes requirements to deploy 10,309 military and police personnel and to recruit 1,312 civilians by 31 December 2013. Of the civilians recruited during the initial phase, 1,289 will be deployed across substantive, support and security functions and the remaining 23 across the Department of Field Support, the Department of Management and the Department of Peacekeeping Operations at Headquarters to support the Mission during that phase.

14. Security conditions on the ground and the scarcity of critical resources, including water, accommodation and life support, will require MINUSMA personnel to work and live in integrated secured compounds and to accept basic living conditions, including limited use of water.

15. The construction programme is expected to span up to three years, with a significant element commencing in the initial funding period. The initial funding request represents what is achievable in the initial period of operations and includes the first phase of construction of the following: the Mission headquarters, a logistics base, three regional offices, 13 team sites, 12 military camps to accommodate uniformed personnel and airfield improvements at each of the three regional offices.

16. In addition to the implementation of the construction programme, the proposed provision to cover operational costs will provide for capacity to procure the first phase of required equipment, including the acquisition of 590 vehicles, 28 generators and 965 computing devices. In the light of the mandate of MINUSMA, as well as challenges including the potential for asymmetric threats on long supply routes, its landlocked position and significant geographical area, a robust dedicated air transportation capacity is required. Funding is also proposed for the deployment of 23 fixed- and rotary-wing aircraft phased across the period.

17. The funding proposal has been developed on the basis of key planning parameters associated with circumstances on the ground, recent experience with other missions and immediate resourcing requirements for the initial deployment of the Mission. Detailed planning will continue over the coming weeks as deployment accelerates, forming the basis for developing the 2013/14 budget proposal for MINUSMA, to be presented to the General Assembly at its sixty-eighth session.

Financial resources

(Thousands of United States dollars)

Category	*Cost estimates (1 July to 31 December 2013)*
Military and police personnel	
Military observers	
Military contingents	115 756.6
United Nations police	4 689.7
Formed police units	13 414.1
Subtotal	**133 860.4**
Civilian personnel	
International staff	
National staff	
United Nations Volunteers	3 818.3
General temporary assistance	43 884.3
Subtotal	**47 702.6**
Operational costs	
Government-provided personnel	
Civilian electoral observers	
Consultants	58.7
Official travel	1 070.5
Facilities and infrastructure	57 009.5

Category	*Cost estimates (1 July to 31 December 2013)*
Ground transportation	27 783.5
Air transportation	51 213.9
Communications	17 861.2
Information technology	5 921.3
Medical	2 556.7
Special equipment	1 826.5
Other supplies, services and equipment	23 271.3
Quick-impact projects	600.0
Subtotal	**189 173.1**
Gross requirements	**370 736.1**
Staff assessment income	3 916.0
Net requirements	**366 820.1**
Voluntary contributions in kind (budgeted)	–
Total requirements	**370 736.1**

18. Military and police personnel requirements of $133.9 million comprise 36 per cent of the proposed funding and will support the phased deployment of 10,309 uniformed personnel by 31 December 2013. This assumes the emplacement of 8,100 personnel on 1 July 2013. It is expected that the majority of the current AFISMA troops and police will be rehatted with the transfer of authority from AFISMA to MINUSMA, scheduled for 1 July 2013.

19. Civilian personnel requirements of $47.7 million comprise 13 per cent of the proposed funding and will provide for the recruitment of 1,312 civilian personnel by 31 December 2013. Taking into account a phased approach for recruitment, the proposal provides funding for a monthly average of 940 civilian personnel over the six-month period, which takes into consideration recruitment lead time and the phased deployment of the proposed positions.

20. Estimated operational costs of $189.2 million account for 51 per cent of the proposed funding. Of the requirements requested for operational costs, $87.4 million represents acquisitions of necessary start-up material and equipment for start-up facilities and infrastructure needs, including ground transportation and the acquisition of communications and information technology equipment. Facilities and infrastructure requirements comprise primarily the Mission's construction programme and the acquisition of prefabricated facilities and generators. The requirements for ground transportation represent primarily the purchase of light passenger and armoured vehicles, as well as vehicles for the handling of materials, and engineering and ground support equipment. The communications and information technology equipment acquisition plan for the period includes the purchase of computer devices, printers, servers and equipment for wireless local area networks, in addition to VHF/UHF equipment, transportable air traffic control towers and communications vans.

21. The new Mission will be dependent on aircraft to support its extended area of operations. In addition to the start-up costs identified above, the operational costs include $51.2 million for the rental and operation of fixed- and rotary-wing aircraft and the associated petrol, oil and lubricant and other related costs. By 31 December 2013, the Mission plans to have deployed 18 rotary-wing aircraft (6 commercial and 12 military), including utility, heavy lift and tactical capacities; and 5 fixed-wing aircraft (3 commercial and 2 military), with funding for 8,806 flight-hours.

22. The balance of $50.6 million included under operational costs will be used primarily for reimbursements to the troop- and police-contributing countries for self-sustainment, mine detection and clearing services and fuel requirements.

Human resources

Category	*Projected deployment as at 31 December 2013*
Military and police personnel	
Military contingents	9 141
United Nations police	206
Formed police units	962
Civilian personnel	
United Nations Volunteers	250
General temporary assistance	
International staff	415
National staff	
National Professional Officers	31
National General Service staff	616

Military and police personnel

23. On the basis of the current and anticipated deployment of uniformed personnel, the Mission expects to have deployed 9,141 military contingent personnel, 206 United Nations police and 962 formed police personnel by 31 December 2013. The requested funding provides for an average of 8,128 military contingent personnel, 194 United Nations police and 912 formed police personnel over the six-month period. This represents a realistic expectation of what the Mission will be able to deploy between 1 July and 31 December 2013 and is based upon a phased deployment to begin addressing the urgency of the situation in Mali.

Civilian personnel, 1 July to 31 December 2013

	International staff									
	USG-ASG	*D-2-D-1*	*P-5-P-4*	*P-3-P-2*	*Field Service*	*General Service*	*Subtotal*	*National staff*	*United Nations Volunteers*	*Total*
MINUSMA	4	21	75	96	196	–	392	647	250	1 289
New York										
Department of Field Support (planning coordination team)	–	1	3	1	–	1	6	–	–	6
Department of Field Support (Divisions)	–	–	4	–	–	1	5	–	–	5
Department of Management (Peacekeeping Financing Division)	–	–	1	–	–	1	2	–	–	2
Department of Peacekeeping Operations (integrated operations team)	–	1	4	–	–	–	5	–	–	5
Department of Peacekeeping Operations (Office of Rule of Law and Security Institutions)	–	–	2	2	–	–	4	–	–	4
Department of Peacekeeping Operations (Office of Military Affairs)	–	–	1	–	–	–	1	–	–	1
Subtotal, New York	–	**2**	**15**	**3**	–	**3**	**23**	–	–	**23**
Total	**4**	**23**	**90**	**99**	**196**	**3**	**415**	**647**	**250**	**1 312**

24. The requirements for the Mission remain fluid and the proposed civilian positions have been identified as a broad complement of requirements. The civilian staffing requirement for the initial phase of the Mission has been developed with reference to historical deployment patterns and typical mission structures, expressly limited to what might be achievable in terms of deployment throughout the period. Commencing with the core leadership team, recruitment will proceed as planning and associated priorities are further developed with regard to functional components and individual roles.

25. Civilian staffing requirements projected for 1 July to 31 December 2013 total 1,289 positions deployed to MINUSMA and 23 positions at Headquarters to provide critical support and oversight for the Mission, comprising 415 international staff, 647 national staff and 250 United Nation Volunteers. This represents an average incumbency of 940 civilians (331 international, 442 national and 167 United Nations Volunteers) for the period. Civilian recruitment will be undertaken in an open and transparent process in accordance with United Nations rules and regulations, relying on established rosters to the extent possible.

26. The civilian component will comprise major substantive elements required to implement the mandates outlined in Security Council resolutions 2085 (2012) and 2100 (2013), including in the areas of political and civil affairs; human rights; elections; justice; corrections; security sector reform; disarmament, demobilization and reintegration; and public information.

27. Within these themes it is expected that civilian staff will perform the following tasks: support the Dialogue and Reconciliation Commission and provide advisory and technical support for the design and conduct of the dialogue processes; assist in

developing modalities for the initial disarmament, demobilization and reintegration programme; provide technical assistance and contribute to creating the political and security conditions conducive to the holding of elections; assist in the re-establishment of judicial and corrections institutions in areas in the north that were freed from armed groups; assist with the reform of the Malian security sector through the development of adequate policy, governance and oversight instruments; monitor compliance with human rights and international humanitarian law; and assist in carrying out a comprehensive reform of the Malian security sector, including mapping.

28. While recognizing the inherent challenges in providing support to uniformed personnel in the current climate, in particular in the northern part of the country, mission support arrangements reflect the principles of the global field support strategy, and existing capacities will be leveraged in order to achieve efficiencies and reduce new support requirements. In this regard, administrative support functions and assistance in coordinating logistics will be provided by other missions in the region.

29. Up until 31 December 2013, the support element of the Mission will undertake a wide range of activities, including providing administrative, logistical and technical support to the military, civilian police and other civilian substantive offices of MINUSMA in the implementation of the Mission's mandate.

30. This will include the establishment of mission infrastructure and related procurement activities; developing and putting in place the appropriate security and support arrangements; recruiting 1,312 civilian personnel; and providing direct support to the uniformed component of 10,309 personnel, including a significant proportion included within MINUSMA from 1 July 2013.

31. Key logistics and administrative functions will include mission support management, audit, contracts management, human resources, budget and finance, procurement, logistics, engineering, air and ground transport, movement control, communications and information technology, supply, medical, United Nations and contingent-owned equipment asset management, and cartography.

32. In addition to the 1,289 proposed civilian positions to be deployed to the Mission, a surge capacity of 23 international positions will be established in the Department of Peacekeeping Operations, the Department of Field Support and the Department of Management at Headquarters to provide critical support and oversight for the Mission in its initial phase. While efforts have been made to absorb additional functions within the existing staffing, the significant size of the new Mission will give rise to immediate demands beyond the capacity of the existing levels of the resources of those departments at Headquarters. Therefore, dedicated resources are required to augment teams in the Office of Operations (integrated operations team), the Office of Military Affairs and the Office of Rule of Law and Security Institutions of the Department of Peacekeeping Operations, as well as the logistics, personnel, finance and budget, and information and communications technology functions of the Department of Field Support and the Department of Management. A dedicated planning capacity for MINUSMA will also be established within the Department of Field Support.

III. Actions to be taken by the General Assembly

33. **The actions to be taken by the General Assembly in connection with the financing of the United Nations Multidimensional Integrated Stabilization Mission in Mali are:**

(a) **Authorization for the Secretary-General to enter into commitments for the period from 25 April to 31 December 2013 in the amount of $454,426,300, inclusive of the amount of $83,690,200 previously authorized by the Advisory Committee on Administrative and Budgetary Questions, which was for the period from 25 April to 30 June 2013;**

(b) **Assessment of the amount of $83,690,200 in paragraph (a) above for the period from 25 April to 30 June 2013;**

(c) **Assessment of the amount of $370,736,100, being the balance of the total amount in paragraph (a), for the period from 1 July to 31 December 2013.**

Annex

Financial resource requirements for the United Nations Multidimensional Integrated Stabilization Mission in Mali for the period from 25 April to 30 June 2013

(Thousands of United States dollars, rounded)

Category	*25 April to 30 June 2013*
Military and police personnel	
Military observers	–
Military contingents	–
United Nations police	–
Formed police units	–
Subtotal	–
Civilian personnel	
International staff	–
National staff	–
United Nations Volunteers	395.3
General temporary assistance	8 847.6
Subtotal	**9 242.9**
Operational costs	
Government-provided personnel	–
Civilian electoral observers	–
Consultants	19.6
Official travel	323.3
Facilities and infrastructure	30 268.4
Ground transportation	16 772.8
Air transportation	7 316.3
Naval transportation	–
Communications	8 855.1
Information technology	4 375.6
Medical	574.3
Special equipment	64.4
Other supplies, services and equipment	5 877.5
Quick-impact projects	–
Subtotal	**74 447.3**
Gross requirements	**83 690.2**
Staff assessment income	769.3
Net requirements	**82 920.9**
Voluntary contributions in kind (budgeted)	–
Total requirements	**83 690.2**